

REQUIREMENTS FOR SYSTEM INTERCONNECTIONS TO GENERATION, TRANSMISSION, AND END-USER FACILITIES



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**REQUIREMENTS FOR SYSTEM INTERCONNECTIONS TO GENERATION,
TRANSMISSION, AND END-USER FACILITIES**

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INTRODUCTION

Lincoln Electric System (LES) is a municipal electric utility serving approximately 200 square miles within Lancaster County in Nebraska, including the cities and towns of Lincoln, Waverly, Walton, Prairie Home, Cheney and Emerald. For more details regarding the mission and background of LES facilities, refer to www.les.com.

A small percentage of LES' customers are located outside the city limits, and to the extent that the city limits are expanded in the future, the LES service area may be expanded. LES holds an exclusive franchise to serve customers within its service area.

LES owns a network of transmission lines which interconnect its generating plants to transmission lines of adjacent utilities and to various transmission and distribution substations serving the loads of Lincoln and the surrounding area. The LES transmission lines are physically interconnected with the transmission systems of Omaha Public Power District (OPPD) and Nebraska Public Power District (NPPD).

This document lists requirements for all interconnections to the LES system regarding (i) generation above 100 kW_{AC} in rated nameplate capacity, excluding emergency or standby generators that only operate in parallel with LES for periodic testing purposes, (ii) transmission, and (iii) end-user facilities. Interconnections to energy storage equipment shall be considered end-user facilities that include generation, and thus shall be subject to the requirements of both categories.

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PURPOSE

1. LES has prepared this document to establish the technical and contractual facilities interconnection requirements for generation, transmission, and end-user facilities. These requirements intend to promote safe operation, system integrity and reliability of the LES and interconnected systems. References to the term “interconnection” include facility additions and changes to existing facilities. These requirements are minimums to be used as a guide toward LES’ prompt processing of interconnection requests. A thorough review and understanding of these requirements will assist a requesting party in obtaining timely and mutually satisfactory responses.
2. Each request for an interconnection will be evaluated on a case-by-case basis. The requesting party may be another electric utility, a customer, an Independent Power Producer (IPP), a marketer or a Non-Utility Generator (NUG).
3. The review and approval requirements detailed here shall apply to all interconnected facilities regardless of who does the design or installation work.
4. This document will be revised as needed to meet current conditions and North American Electric Reliability Corporation (NERC) Reliability Standards.

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GENERAL REQUIREMENTS

1. Interconnections to the LES system must be consistent with LES standards and with standard utility practices. A proposed interconnection must not degrade the reliability, operating flexibility or safety of the existing power system. System studies will be required to evaluate the impact of the requested interconnection.
2. All applicable interconnections shall comply with the requirements of NERC, Midwest Reliability Organization (MRO), and the Southwest Power Pool (SPP).
3. Any related interconnection agreements administered by SPP to which LES and the requesting party are both counterparties shall follow the applicable SPP process. In the event that the provisions of this document are in conflict with the provisions of any such executed SPP interconnection agreement, then the SPP regulations shall control.
4. If applicable, the party requesting the interconnection is responsible for notifying other affected entities. At a minimum this shall include NPPD, OPPD, and SPP. SPP is the Balancing Authority (BA) and Planning Coordinator (PC) for LES, and as such LES will confirm with SPP to ensure the new facilities or existing facilities seeking to make a qualified change are within the SPP Balancing Authority Area.
5. Unless otherwise agreed to between the parties, the requesting party will generally be responsible for obtaining any necessary rights-of-way or easements from landowners. The requesting party shall procure and/or grant to LES all reasonably necessary rights-of-way and easements on the property owned and/or leased by requesting party for the construction and operation of the interconnecting facilities, to install, operate, maintain, replace and remove related LES facilities. The requesting party shall also procure all necessary rights-of-way and easements for the construction, operation, maintenance and replacement of related LES facilities that are to be located on property that is adjacent to property leased by the requesting party and owned by a third party. Unless otherwise agreed to, the standard LES easement agreement will be utilized. If the standard LES easement agreement isn't utilized, LES shall review and approve such agreement.
6. All arrangements for system studies, designs, construction, ownership, operations, maintenance, maintenance coordination, replacements of equipment, including metering, facility controls and communications, if applicable, must be set forth in written contracts between LES and the requesting party.
7. All costs associated with the interconnected facilities and any related system upgrades required to support the new facility will be the responsibility of the requesting party. Advance funds will be required before any work is performed by LES for a requesting party.

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ENVIRONMENTAL REQUIREMENTS

1. LES is required to ensure that the potential environmental impacts of any proposed interconnection are assessed in accordance with state and other environmental regulations, including, if applicable, the National Environmental Policy Act of 1969 (NEPA). The requesting party shall provide LES with an environmental review of the compliance obligations, if any, necessary to meet the above requirements, including a summary of how the obligations are expected to be met. A copy of the environmental documents prepared by or for another agency involved with the project shall be furnished to LES. The final interconnection cannot be made until all environmental requirements have been met.
2. When the requesting party is to own equipment located in an LES substation, switchyard, or right-of-way, the requesting party shall be financially responsible for all activities, including but not limited to construction, operations and maintenance, and decommissioning, necessary to comply with the requirements of existing or subsequent applicable federal or state environmental laws and regulations.
3. Each party shall notify the other party, first orally and then in writing, of the release of any hazardous substances, any asbestos or lead abatement activities, or any type of remediation activities related to the generating facility or the interconnection facilities, each of which may reasonably be expected to affect the other party. The notifying party shall: (i) provide the notice as soon as practicable, provided such party makes a good faith effort to provide the notice no later than twenty-four hours after such party becomes aware of the occurrence; and (ii) promptly furnish to the other party copies of any publicly available reports filed with any governmental authorities addressing such events.

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TECHNICAL REQUIREMENTS

A. General

1. Unless otherwise agreed, the owner of the facility shall design, construct, install, own, operate, inspect and maintain the facility and all equipment on the owner's side of the point of interconnection that is required to generate, deliver, or receive energy from/to LES except for any special facilities as may be designated. The facility's protection, control, safety, and all associated equipment must meet standards of good engineering and electrical safety practices as determined solely by LES and be capable of parallel operation with LES service wires.
2. LES will retain the review and approval authority over any design and construction on its right-of-way or associated with the proposed interconnection.
3. Drawings for facility additions must conform to LES drafting standards and be approved by LES. The requesting party will supply electronic drawings, compatible with LES' computer-aided design system. The requesting party will reimburse LES for the cost of translating drawings into a format compatible with LES' format.
4. Modifications to the existing system to accommodate the proposed project shall adhere to the appropriate LES standard design criteria and guides. Variations from this design criteria or guides may be considered on a case-by-case basis and will require LES approval.
5. At reasonable hours, and upon reasonable notice, or at any time without notice in the event of an emergency or hazardous condition, LES shall have access to the requesting party's premises for any reasonable purpose in connection with the performance of the interconnected facilities.
6. The requesting party making the interconnection with LES shall provide its written standard operating and maintenance coordination procedures to LES for the interconnected facility.
7. Breakers and switches installed in LES facilities shall adhere to LES numbering schemes. All switches to be operated by LES will be locked with locks furnished by LES.
8. Equipment ratings shall be suitable for the ambient temperature range of -40° C to 50°C. Equipment ratings shall comply with the requirements of Table 1 and shall be sized for load and system expansion for the 15-20 year time frame. Equipment ratings shall comply with the latest ANSI, IEEE, NEMA, and NERC requirements and must be in accordance with the LES methodology for determining facility ratings.

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TABLE 1

**MINIMUM BREAKER DUTY, SURGE PROTECTION AND
EQUIPMENT RATING REQUIREMENTS FOR GENERATION,
TRANSMISSION, AND END-USER FACILITIES**

System Voltage (KV)	Rated Maximum Voltage (KV)	BIL (KV)	Arrester MCOV (KV)	Breaker Interrupting (KA)	Continuous Rating (A)
12.47	15	95	7.65	23	1200
34.5	38	200	22	20	1200
115	127	650 ⁽¹⁾	74	63	3000
161	178	750 ⁽¹⁾	103	40	2000
345	380	1300 ⁽¹⁾	220	40	3000

NOTE:

- (1) Transformers have 1 level reduced insulation:
 115kV – 550kV BIL
 161kV – 650kV BIL
 345kV – 1050kV BIL

9. Interconnections within an LES substation shall be made with power circuit breakers. Breaker duty shall be in accordance with Table 1. Typical specifications covering circuit breaker requirements are available from LES.

10. Installation of equipment in substations must conform to LES requirements and must be approved by LES. Oil-filled equipment, including bushings, shall not contain polychlorinated biphenyls (PCBs). In addition, oil-filled equipment shall be permanently labeled by the manufacturer as non-PCB. Certification shall be provided to LES before the time of installation. Oil-filled equipment may require an oil spill containment system to comply with EPA or state regulations. Any increased equipment costs due to these requirements will be borne by the party requesting the equipment.

11. The grounding system shall meet the requirements of IEEE 80 and the requirements of NESC.

12. Two hard copies and one electronic copy of each drawing should be provided to LES not more than 90 days after construction has been completed. The drawings shall be marked to show “as built” conditions. The electronic print set shall be provided in native file format with 3D edition preferred. For substation and generation facilities, these drawings shall include, but not be limited to, station plot plans, equipment layouts, conduit and cable trench layouts, grounding plans, single-line diagrams, control circuit schematics, and wiring diagrams. For transmission facilities, the drawings shall include plans, profiles, hardware, and assembly details.

13. Two hard copies and one electronic copy of instruction books and manufacturer’s drawings shall be furnished to LES for each piece of equipment placed within LES facilities.

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B. Study Coordination

1. LES will conduct or review power system studies (at the expense of the requesting party) needed to substantiate system impact, reliability, and capability of the system with the addition of the proposed interconnection. These studies shall comply with all current NERC and MRO Reliability Standards, as well as SPP requirements, and may include, but not be limited to, power flow, system stability, short circuit, breaker duty, surge protection, insulation coordination, equipment ratings, system grounding, safety, voltage level, MW capacity, MVAR capacity and synchronizing studies. Evaluation of alternatives to the proposed interconnection, such as alternative voltage construction, reactive support facilities, or upgrading facilities, may be requested or conducted. Power flow analysis will include 10-year load or resource growth projections and the planned facilities needed to satisfy such requirements.
2. The owner of the interconnecting facilities will provide all necessary modeling data, for performing power system studies. All supporting documentation (such as facility one-line diagrams, operating characteristics, equipment capabilities, etc.) must also be provided by the facility owner. Modeling and associated data shall comply with all current NERC and MRO reliability standards, as well as SPP requirements.
3. To coordinate joint studies of the proposed interconnection, contact the designated LES personnel listed in the section *Coordination and Operational Requirements*.

C. System Control

1. Supervisory control of circuit breakers, motor operated disconnects, or both, will be required to be provided to LES on all interconnections where breaker or disconnect operations can, in LES' opinion, directly affect the reliability of the power system. The RTU(s) installed at the new facilities for supervisory control shall meet LES specifications and be compatible with the Supervisory Control and Data Acquisition (SCADA) system used by LES. The cost of providing and installing the RTU at a new location or proportionate cost of modifying an RTU at an existing facility shall be at the expense of the requesting party. LES will perform the necessary expansion, including hardware and software changes, to the SCADA master station equipment at the requesting party's expense for that portion of the cost attributed to the new interconnection. Transducers, interface hardware, and appropriate communication channels compatible with existing SCADA system requirements shall be furnished by the requesting party. Specifications for such equipment will be provided upon request. The requesting party shall provide necessary auxiliary and control relays, local supervisory switches, and all other miscellaneous equipment necessary to interface with the LES supervisory control equipment.
2. Interconnections that establish additional or revised control area boundaries require the requesting party to furnish all the necessary control area metering equipment. These requirements may include, but are not limited to, any or all of the following:
 - a. Analog and/or digital telemetry at the point of interconnection.
 - b. Totaling equipment at the point of interconnection or some intermediate point on the communications links. A multi-ported RTU may be substituted in some cases.

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- c. Communications links to both LES and the other organization's power system control center.
 - d. Automatic generation control (AGC) hardware and software changes or additions at the power system control centers.
3. Telemetry, scheduling and interconnection metering are performed on a megawatt or whole megawatt hour basis; therefore, interconnection metering and totalizing equipment shall meet this criterion.

D. System Protection

1. The interconnecting party shall provide protective relaying systems consistent with the quality and protection philosophies of LES. Proposed protective relaying requirements for each interconnection will be subject to review and approval by LES after receipt of a preliminary single-line drawing of the proposed interconnection and a single-line drawing and drawings of the party's interconnected system.
2. The interconnecting party shall provide recloser ratings, fuse ratings, relaying data, relay bill of materials, and line/transformer impedances in coordination with LES.
3. Overcurrent relaying and backup overcurrent relaying are required for 35 kV and below interconnections. Some applications will require directional overcurrent relays. Immediate and time-delayed reclosing is required. Specialized relaying, such as direct transfer trip, may be required to provide automatic load/generation shedding, or interconnected system separation. Determination of the need for specialized relaying or interconnection equipment (such as reclosers/breakers/relays) will be made solely by LES.
4. High-speed pilot primary relaying, high-speed non-pilot secondary relaying and breaker failure relaying are required for 115 kV and 161kV interconnections. Immediate and time-delayed reclosing is required. Specialized relaying, such as direct transfer trip, may be required to provide automatic load/generation shedding, or interconnected system separation.
5. High-speed pilot primary relaying, high-speed pilot secondary relaying, high speed dual-channel transfer trip and breaker failure relaying are required for 345kV interconnections. The primary and secondary pilot relays shall be on separate channels. Each of the dual direct transfer trip channels must be separate from each other but may be shared with the primary and secondary pilot relay channels. Immediate and time-delayed reclosing is required. Specialized relaying, such as direct transfer trip, may be required to provide automatic load/generation shedding, or interconnected system separation.
6. For transformers with a high-side winding voltage rating above 100 kV, protection shall include the following: differential relay, backup differential relay, sudden pressure relay, pressure relief devices, high side overcurrent backup and low side overcurrent backup. High side protection must be a power circuit breaker or a circuit switcher with adequate interrupting capability.

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7. For transformers with a high-side winding voltage rating below 100 kV, protection shall include, at a minimum, high and low side overcurrent fuses and/or circuit breakers. All protection shall coordinate with standard LES distribution and subtransmission fusing schedules.
8. LES will not be responsible for protection of the interconnected party's system. The interconnected party is solely responsible for protecting their equipment in such a manner that faults, unbalances, or other disturbances on LES or the surrounding system do not cause damage to the party's facilities. Sync check and synchronizing of interconnected facilities is the responsibility of the interconnected facility owner.

E. Communications

1. The requesting party shall provide communications facilities sufficient to meet LES fiber, telephone, radio, system protection, remote meter reading, and Energy Management System/Supervisory Control and Data Acquisition (EMS/SCADA) requirements.
2. The communications channels and channel hardware will be provided by the requesting party. LES will specify the type, speed, and characteristics of the communication channel equipment so that compatibility with existing communications, supervisory control, relaying, and telemetering equipment is maintained. The specific type of communication equipment to be furnished by the requesting party will be reviewed and approved by LES. The requesting party will reimburse LES for the costs of any additional facilities provided by LES.

F. Metering

1. Current transformers used for revenue metering circuits must meet the accuracy standards, as specified under the American National Standards Institute (ANSI) C57.13, for an accuracy class of 0.3 percent at all burdens. Current transformers shall have a thermal rating factor of 2.0.
2. Voltage transformers used for revenue metering circuits must meet the accuracy standards, as specified under ANSI C57.13, of 0.3 percent accuracy with the following burdens:
 - a. "W" through "Y" burden for 5 kV through 25 kV.
 - b. "W" through "Z" burden for 25 kV and above.
3. Unless otherwise agreed to by both parties, LES will install, own, operate and maintain the revenue metering. Revenue metering with a recording demand device shall be used if the estimated maximum demand is 100 kilovolt-amperes or greater, or if maximum simultaneous demand billing is contractually required. Such revenue metering shall be compatible with the LES metering policy.

- G. Operating Limits – The operating limits described in this section are general guidelines meant to cover any applicable facilities requesting to be interconnected to the LES system. More specific requirements may be applicable, dependent on type or size of the facility requesting to

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be interconnected. In the case where varying requirements within this document, the more stringent requirement shall be met.

1. Abnormal Frequency and Voltages – It shall be the responsibility of the owner to provide adequate protection or safeguards to prevent damage to LES caused by over/under voltages or over/under frequency originating in the interconnected facility. The owner shall provide adequate protection and safeguards to protect the interconnected facility from inadvertent over/under voltage or over/under frequency conditions originating from the LES electrical system. This would include the prevention of ground fault over-voltage through IEEE effective grounding. Steady-state voltages and frequency must be maintained within the normal and emergency limits as defined in the current NERC and MRO Reliability Standards.
 2. Power Factor – The interconnected facility shall be responsible for providing their own reactive power needs. All reactive resources must be capable of operating within the voltage limits stated in the current NERC and MRO Reliability Standards for normal and emergency conditions. Switched reactive resources must be designed to not cause voltage transients on the system.
 3. Power Quality – Adequate design precaution must be taken by the interconnected facility owner to prevent excessive and deleterious harmonic voltages and/or currents from occurring on the electrical system of LES or interconnected owners. The interconnected facility must be designed to operate with normal harmonic voltage and currents that originate from LES. The requesting party will be responsible to mitigate any harmonics impressed upon their facility by the system. Voltage and current harmonic levels need to be below the stated values in the current IEEE Std 519 document. Excessive harmonics originating from within the interconnected facility will be the responsibility of the interconnected facility owner to correct at their own expense.
 4. Voltage Flicker – Voltage surges or flicker caused by the operation, synchronization, or isolation of the interconnected facility shall be within the applicable standards as set forth by the International Electrotechnical Commission (IEC). The interconnected facility shall provide suitable equipment to limit voltage flicker to below the "Border Line of Irritation" curve on the IEC voltage flicker chart at the point of interconnection.
 5. Synchronization – Synchronization of an interconnected facility with generation shall be accomplished by providing suitable equipment to measure both the phase angle across the breaker and the voltage on each side of the breaker. If possible, the phase rotation should be stopped and the phase angle reduced to 10 degrees or less before interconnection is made.
- H. Governmental Regulations, Codes, and Ordinances – The owner of the facility has the responsibility to comply with all applicable federal, state, and local regulations, codes, and ordinances including, but not limited to, electrical codes, environmental laws/regulations, safety and health laws/ordinances, and building/construction codes. The owner shall not begin initial operation of the facility until it has passed applicable code inspection requirements and has received written approval from LES. The LES review of design specifications and drawings or on-site inspections shall not be construed as approvals as to compliance with any such regulations, codes, and/or ordinances.

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ADDITIONAL TECHNICAL REQUIREMENTS FOR GENERATION INTERCONNECTIONS

1. Generation interconnections shall be in compliance with the current LES Service Regulations and LES Rate Schedules if applicable. The most current revisions of these documents can be found at www.les.com or by contacting LES.
2. When LES considers integrating generation into the system, special operational studies may be required. Operational problems on the LES system, either during normal or emergency conditions, may affect LES control performance, and under certain conditions, the generator may have to follow unit load and voltage control directives from the LES system dispatcher. A contract will be required with each generator to describe the interconnection and the specific operational procedures and obligations.
3. Unless otherwise agreed upon, modeling data for system stability studies (generator, excitation systems, governor, etc.) shall be provided by the owner of the interconnecting facilities. Facilities interconnected at 12 kV or 35 kV shall also provide generator and facility interconnection data in DNV GL Synergi format. All supporting documentation (such as generator reactive capability curves) must also be provided by the facility owner.
4. The generation facility shall comply with the current version of the following industry codes and standards:
 - ANSI C84.1
 - IEEE 1547
 - UL 1741
 - National Electrical Code (NEC)
 - National Electrical Safety Code (NESC)
5. The interconnected generation facility shall provide equipment for manually disconnecting and isolating the generation facility from the LES electrical system. An outside-mounted visible disconnect shall be installed on the generating facility owner's side of the point of interconnection near the meter or mutually agreed upon location. Such equipment must be capable of preventing the generating facility from energizing the LES service wires and must include a device which, at LES' discretion, LES employees can operate and lock in place.
6. Generation facilities with nameplate ratings of at least 1 MW_{AC} or greater shall meet the following additional requirements. For generating facilities that utilize an inverter, requirements shall be based on the AC nameplate rating of the inverter. The plant DC capacity may be larger than the inverter AC nameplate rating.

a. Distribution Level (12kV or 35kV)

The generating facility shall implement both Volt-var and Volt-watt protection to mitigate impacts on the distribution system to other customers during normal and abnormal operation. The settings for both modes shall follow the default IEEE 1547 standard settings.

b. Transmission Level (115kV or above)

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Power Factor – The project will be required to have the capability to provide 0.90 leading or lagging power factor at the point of interconnection in accordance with a voltage schedule to be provided by the utility. The power factor range standard can be met by using, for example, power electronics designed to supply this level of reactive capability (taking into account any limitations due to voltage level, real power output, etc.). In the event that LES elects not to define a voltage schedule, the generating facility shall maintain a composite power delivery at continuous rated power output at the point of interconnection near unity, with a maximum deviation of 0.98 leading or lagging power factor.

Voltage Regulation – The generating facility shall be capable of dynamic operation according to a voltage regulation schedule or through provision of active voltage regulation (AVR) at the point of interconnection. Under this requirement the plant will be required to continuously output the necessary reactive power to meet a scheduled voltage at the point of interconnection or provide AVR. This specification can be met by using plant controller technology to respond to utility operation schedules. Generating facilities shall be capable of meeting the power factor requirements specified above and be rated for continuous operation at voltages +/- 10 % nominal.

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ADDITIONAL TECHNICAL REQUIREMENTS FOR TRANSMISSION INTERCONNECTIONS

1. SPP is the Planning Coordinator for LES and any proposed interconnections must follow the review process outlined in Section 5.5 of the SPP Planning Criteria. The proposed interconnection must be reviewed by all impacted transmission owners and approved by the SPP Transmission Working Group (TWG).
2. Connections to transmission lines shall meet the following minimum criteria:
 - a. A proposed interconnection to a transmission line, whenever possible, will be connected at an existing substation. Unless otherwise agreed to, interconnections at a new location on an existing line will require the requesting party to provide a substation site suitable for breakers, relaying and transformer installations.
 - b. No more than one connection without line sectionalizing circuit breakers will be permitted between transmission line breakers. If the requested connection exceeds the one connection between circuit breakers, the requesting party shall be responsible for adding necessary circuit breakers, relaying and compatible relaying at adjacent terminals at its own expense.
 - c. Overhead interconnecting transmission lines shall have overhead ground wire (OHGW) shielding over the entire length of the line. Interconnections to lines with existing optical ground wire (OPGW) may require optical ground wire on all or part of the interconnecting line.
 - d. Interrupter switches, or equivalent, capable of interrupting either load or charging current shall be installed in the line sectionalizing positions for all interconnected substations. These interrupters would be used to de-energize line sections without interruption of the connected loads. LES would assume ownership of the sectionalizing switches. The bus configuration shall provide isolation of the interconnection while maintaining the integrity of the LES system.
 - e. LES facilities shall be protected from a cascading failure of the interconnecting transmission line, generally through the design and installation of a storm structure, or substation dead-end, capable of stopping a cascade. The design of this structure shall, at a minimum, meet LES design requirements and obtain LES approval.
3. Transmission interconnections that include generation shall meet the requirements of generation interconnections.

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ADDITIONAL TECHNICAL REQUIREMENTS FOR END-USER INTERCONNECTIONS

1. For interconnections to the LES distribution system (35 kV and below), power factors shall meet the requirements of the applicable LES rate schedule. In the absence of an applicable rate schedule, the power factor shall be no lower than 0.93 lagging. Power factor requirements for transmission system interconnections (115 kV and above) shall be determined as part of the initial power system studies. LES will impose penalties for power factors that are outside the required level. The penalty rates can be found in the LES Rate Schedules. The most current revisions of these documents can be found at www.les.com or by contacting LES.
2. To avoid over-voltage on the distribution system (35 kV and below), LES requires that any interconnection configuration results in effective grounding, up to and including a grounding transformer, if applicable.
3. End-user interconnections that include generation shall meet the requirements of generation interconnections.

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COORDINATION AND OPERATIONAL REQUIREMENTS

1. If construction is done by others, an LES representative will be present, as needed, to coordinate and provide for switching, clearances, special work permits, and inspections during construction work on LES' right-of-way associated with the interconnection. Final electrical connections to the power system will be typically made by LES or with LES' supervision.
2. Contractual arrangements with a party for facilities which are installed in or connected to the transmission system will normally allow LES the right to connect to either the high-side or low-side bus of the substation. Appropriate compensation for use of the tap substation facilities by LES will be arranged if the low-side bus of the substation is being tapped.
3. LES reserves the right to approve transmission system changes at the tap, substation, or interconnection which affect operation of the transmission system, including interconnecting with facilities of a third party.
4. Prior to energized interconnection to the LES system, LES shall commission and test their interconnection facilities and related system upgrades and the requesting party shall commission and test their interconnection facilities, including any related generating facilities, to ensure their safe and reliable operation. An inspection by LES personnel of the new or existing facility to be interconnected is required and will be consistent with the inspection requirements of existing facilities. Each party shall make any modifications to its facilities that are found to be necessary as a result of such testing and inspections. The requesting party shall bear the cost of all such testing, inspection and modifications. The requesting party shall generate test energy at any related generating facilities only if it has arranged for the delivery of such test energy. LES reserves the right to carry out an inspection thereafter from the date of interconnection upon reasonable notice and at the discretion of LES.
5. Each party shall at its own expense perform routine inspection and testing of its facilities and equipment in accordance with good utility practice as may be necessary to ensure the continued interconnection of the generating facility with the transmission system in a safe and reliable manner. Each party shall have the right, upon advance written notice, to require reasonable additional testing of the other party's facilities, at the requesting party's expense, as may be in accordance with good utility practice.
6. Each party shall notify the other parties in advance of its performance of tests of its interconnection facilities. The other parties have the right, at their own expense, to observe such testing.
7. LES will perform operational and routine maintenance on facilities located in its substations unless otherwise agreed to when the proposed replacement or additions are at an LES substation. The contractual arrangements will include provisions for an advancement of funds for the costs of labor and other expenses, including allocable overhead costs, associated with the operational and routine maintenance work performed by LES. When an existing transmission system transformer is replaced, the maintenance costs attributed to the new transformer will typically be paid by LES based on the ratio of the capacity retained by LES to the capacity of the new transformer. When an additional transformer is involved, the maintenance costs attributed to the new transformer will typically be the responsibility of the equipment owner. Periodic advancement of funds will be required to cover the estimated cost of operational and maintenance work to be performed by LES on equipment owned by others.

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8. Unless otherwise agreed, maintenance will normally be performed by and at the expense of the party which owns the equipment or facility when the proposed interconnection involves a tap or substation sectionalizing an LES line.
9. LES will perform commissioning and maintenance on relaying and control equipment and other associated equipment for which LES has operating responsibility, unless otherwise agreed.
10. Maintenance coordination is required when scheduling maintenance and outages. LES shall be notified and have the right to witness settings and testing of relays, meters, and controls which affect the integrity and security of the transmission system. LES shall also have the right of entry for inspection, emergency operation and maintenance of those particular devices deemed necessary for power system integrity. Maintenance coordination may require rescheduling maintenance and outages due to system conditions.
11. LES shall have the operation and dispatching authority of the circuit breakers, disconnects, and interrupters that are an integral part of the transmission system and will order switching and issue all clearances and hot-line permits on the transmission portion of the interconnection or substation. This will involve use of LES' switching and clearance procedures, including use of LES locks and tags. Issuance of clearance or hot-line permits may be in the form of an inter-company clearance to a dispatching agent of the utility owning the facility rather than directly to a job supervisor.
12. Abnormal conditions/emergency conditions may arise when the reliability of the electric system and interconnected systems are in jeopardy. During these conditions, the owners of interconnected facilities may be asked to place control of their facilities under the direction of LES until conditions return to normal. LES may require interconnected facilities to adjust generation, shed load, or isolate from LES. LES will perform the actions as required by the Reliability Coordinator and/or Balancing Authority to ensure reliable operation of the regional grid as defined in NERC Reliability Standards.
13. Detailed procedures will be written and mutually agreed upon before the facility is interconnected to LES. LES will require the interconnected facility to provide personnel names and phone numbers who may be contacted during normal and emergency operating conditions. LES will also provide a phone number to contact LES when the interconnected facility will be making changes to their facilities which will affect LES.
14. The following are only applicable if there does not exist a related, executed interconnection agreement administered by SPP to which LES and the requesting party are counterparties:
 - a. When LES determines that an interconnection is consistent with the requirements in this document, contractual agreements will be prepared and furnished to the requesting party.
 - b. An estimate of LES costs, including administrative overhead and other costs associated with construction, operation, and maintenance, will be provided to the requesting party. The contractual arrangements will specify the amount of funds required to be advanced. Periodic cost statements will be furnished to the requesting party as planning, design, and construction work progresses.

**REQUIREMENTS FOR SYSTEM INTERCONNECTIONS TO GENERATION,
TRANSMISSION, AND END-USER FACILITIES**

15. If you have any questions concerning these requirements, please telephone or write the following contact at Lincoln Electric System:

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